

Article



Determination of the Bucket Wheel Drive Power by Computer Modeling Based on Specific Energy Consumption and Cutting Geometry

Ildiko Brînaș, Andrei Andraș 🔎, Sorin Mihai Radu, Florin Dumitru Popescu *២, Iosif Andraș, Bogdan Ioan Marc 跑 and Alexandru Robert Cioclu

> Department of Mechanical, Industrial and Transport Engineering, University of Petroşani, 332006 Petrosani, Romania; kerteszildiko@ymail.com (I.B.); andrei.andras@gmail.com (A.A.); sorin_mihai_radu@yahoo.com (S.M.R.); iosif.andras@gmail.com (I.A.); bogdanmarc94@yahoo.com (B.I.M.); cioclurobert@yahoo.com (A.R.C.)

* Correspondence: fpopescu@gmail.com; Tel.: +40-723-719-303

Abstract: Starting from the general principles of material cutting, with applicability to coal and overburden excavation using bucket wheel excavators (BWEs), this paper proposes another method for calculating the drive power of the bucket wheel excavator by computer modeling. This approach required two steps. In the first step, the volume of the excavated material for one slewing movement of the boom and for a particular excavation geometry was determined, and the slewing time in the open pit coal mine was measured. In the second one, the values of the specific energy (SE) consumption for cutting were determined by laboratory tests on samples of material taken from the open pit mine. The proposed method allows for the estimation of the load of the bucket wheel drive motor by taking into account only the specific energy necessary for the cutting of the material, the excavated volume during one slewing, and the time required to excavate this volume, and not the wear coefficients of the teeth or bucket edge. This method is important for establishing the correlation between the height of the excavated slice and the slewing speed of the boom in order to optimize the drive power of the bucket wheel and thus improve energy efficiency, increase the performance of excavators, and reduce operating costs.

Keywords: bucket wheel excavator; drive power; mechanical rock cutting; specific energy; linear cutting machine

1. Introduction

Bucket wheel excavators (BWEs) are the main equipment used in open pit lignite mines, both for lignite and overburden rock excavation. The mining operators are facing various technical problems, among which the energy consumption and operating power represent the main issues in terms of productivity and operating costs.

Knowledge of the laws governing mechanical cutting, which represent interdependencies between different parameters including teeth geometry, material, bucket shape, and tooth placement layout, is highly significant in the analysis of power and energy aspects.

The appropriate operation of the BWEs is influenced, among other parameters, by the energy consumption and the rational utilization of the actuating power of the bucket wheel. The underloading or overloading of the bucket wheel actuating system are both inappropriate for the safe and efficient use of the involved equipment. The specific energy (SE) represents the work needed to excavate one rock unit of volume/mass [1]. SE represents one of the key criteria for the assessment of a rock-excavating system's efficiency and optimum condition, and for the estimation of its cutting rate [2].

In this respect, the establishment of the characteristics of both qualitative and quantitative mechanical rock cutting must be performed on the basis of experimentally obtained



Citation: Brînaş, I.; Andraş, A.; Radu, S.M.; Popescu, F.D.; Andraş, I.; Marc, B.I.; Cioclu, A.R. Determination of the Bucket Wheel Drive Power by Computer Modeling Based on Specific Energy Consumption and Cutting Geometry. *Energies* **2021**, *14*, 3892. https://doi.org/10.3390/ en14133892

Academic Editors: Piotr Cheluszka and Eryk Remiorz

Received: 26 May 2021 Accepted: 24 June 2021 Published: 28 June 2021

Publisher's Note: MDPI stays neutral with regard to jurisdictional claims in published maps and institutional affiliations.



Copyright: © 2021 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). data, supported by theory, and validated through field measurements. Various authors have developed theoretical models for the problem of rock cutting. Evans suggested a pattern [3] for calculating the cutting force derived from the rocks' compressive and tensile strengths, the depth of cut, and the bit geometry. He further improved this model for point attack bits [4], retaining most of his earlier concepts and theory. Nishimatsu [5] proposed a theory based on the application of the Mohr-Coulomb failure criterion during chip formation, considering the shear strength as the most crucial parameter. Authors of [6] introduced a theoretical-empirical cutting approach, where the interaction between the rock and the cutting tool is highlighted in a zero-degree angle cutting scenario. In the study [7], a detailed comparative analysis of the cutting force was conducted in the case of conical pick cutting mechanisms, based on nearly 200 test results of several different rock materials. Numerous studies using linear cutting machines (LCM) were conducted to evaluate parameters of excavating machines. Paper [8] investigates the optimum set-up for spacing and depth in the case of a tunnel boring machine cutting tool, using several linear cutting experiments conducted for various speed and placement scenarios. Copur et al. [9] studied the results of various cutting configurations and in situ conditions when using conical picks, demonstrating the ratio between the decrease of SE and cutting depth increase, along with an increased production of chips. Authors of [10] conducted research on linear cutting that focused on rock fragment particularities in connection with SE and cutting force. They concluded that there is an inverse ratio between SE and the coarseness index, and a direct relationship between the cutting forces and the mean particle.

In recent years, the rock cutting task was also successfully simulated using computer software, with the use of either the discrete element method (DEM) or the finite element method (FEM). The discrete element method (DEM) was used in [11] to investigate the effects of the cutting parameters (angle of the cone-shaped pick, the angular speed of the drum, and the traction speed) on the cutting force of the cone-shaped pick in a coal-cutting procedure. Several cutting simulations and full-scale tests using DEM were conducted to demonstrate the effects of set-up parameters and pick geometry on the cutting process in [12]. The finite element method (FEM) was used to create a numerical model of rock cutting to assess the debris size and cutting forces variation in relation to rake angle and cutting speed [13,14], in order to find the effect of depth of cut [15] or speed of cut [16] on specific energy consumption. In the paper [17], the coupled discrete/finite element model of rock cutting was used for several numeric simulations of an LCM test in the case of a single TBM disc. The comparative results of simulations versus theoretical and in situ data enable a better understanding of the rock cutting process and represent a useful optimization and design tool. A numerical simulation of a 2D rock-cutting model using DEM is presented in [18]. It shows the main physical phenomenon of rock sample-tool interaction, emphasizing rock failure where the main cutting parameters are well-correlated, both in qualitative and quantitative aspects, with theoretical assumptions and experimental results. Further simulations in 3D are planned to validate the results obtained. Papers [19] and [20] extend the use of DEM to 3D, performing simulations of Brazilian and UCS tests, followed by cutting simulations of roadheaders and TBMs. Numerical results are compared with data available from experiments.

Due to the advances in modeling and simulation software, rock fragmentation by mechanical tools has been modeled and simulated using up-to-date numerical, computeraided methods, with the aim of replacing laboratory tests and the closed-form analytical or empirical formulae by examining the basic phenomena at the micro level. In paper [21], a rock-cutting simulation method was proposed based on the theory of smooth particle hydrodynamics, using the Drucker-Prager yield criterion to estimate the crisp behavior of rock and the cumulative damage model to simulate the rock splitting process. The results were compared with experimental ones, in terms of SE and cutting force. Study [22] deals with an estimation of the cutting forces acting on the gouge tool. The induced tensile failure of the crushed area expansion term is introduced, which is an analytical model used for a more accurate estimation of the peak force acting on chisel picks during rock cutting. The results related to the link between cutting forces and cutting parameters (cutting depth, width, and rake angle) offer a more precise estimation of experimental results as compared to older models.

The compact poly-crystalline diamond bits (PDC), which are the smallest cutting tool employed in soft-to-medium hardness rock drilling, is studied in paper [23] by analyzing the effects of the rotation angle, back rake angle, depth of cut, and the rock properties on the breaking process. The theoretical assumptions were validated by experimental lab tests on site assays.

Article [24] deals with load variation by simulating the roadheader cutting head. The influence of slewing and rotating speed on load fluctuation and the SE consumption during cutting is subject to optimization. The adjustment of the swing speed of the cutting tool arm and of the cutting head rotation speed can ensure a highly efficient working state.

The importance of a method for the quick and relevant assessment of power requirements and energy consumption of the BWE in given mining geological conditions is evident. This concern is also treated in the literature.

Paper [25] is focused on this issue, presenting theoretical, laboratory, and field measurements allowing for the understanding of cutting characteristics of Romanian open pit lignite mining, mainly regarding the specific energy consumption.

Paper [26] is devoted to the connection of coal and overburden rocks cutting characteristics with the working regime of the excavators. A novel grapho-analytic methodology was proposed where the capacity of cutting and derived power are plotted on the same chart as functions of the specific resistance to cut, making it possible to set up an optimum working regime for the BWE by maximizing the excavation capacity while preventing drive motor overload. For the calculation of the optimal working regime for certain rock characteristics in the case of the most widely used excavators in Oltenia open pit coal mines, computer software was used. The authors used correlations between them derived from heuristic reasoning and closed-form relations.

The possibilities and choice of optimal operation conditions as a multi-criteria relation between the technical parameters of the BWE, the excavated rock cutting characteristics, and the particularities of the applied technology are analyzed in paper [27], including the determination of the medium power of the bucket wheel drive during the working regime in order to achieve the highest efficiency of coal winning at the lowest cost.

Paper [28] proposes a method for the determination of workability, taking into account the mechanical and physical characteristics of rock and coal in order to avoid the excavator being damaged by bucket wheel overloads exceeding the ones from the design phase. The authors claim that the most exact way to assess workability is by running tests of direct exploitation, which are run occasionally under conditions that are not possible to implement during the design stage.

In paper [29], the authors claim the need for a metric that will be, at the present level of knowledge, the most objective and easy-to-measure; a metric that expresses rock-tool interaction, can be available immediately, and demonstrate that the volume of specific energy consumption fulfills these requirements and proposes a formula using direct measurement results.

The method proposed by the authors for the calculation of the actuating power of the BWE is based on the determination of the volume of material removed at an arm slewing cycle, using the numerical modeling of the specific volumetric energy for excavating the extracted material, by measuring both the testing rig in the laboratory and the slewing cycle time in real conditions.

2. Mechanical Cutting Characteristics of Coal

The relatively low strength of coal has made the mechanization of its breaking possible with the use of various machines such as bucket wheel excavators, shearers, shearer-loaders, roadheaders, and plows.

In the exploitation of energy resources in open-pit mines, BWEs are mainly used both for coal and overburden rock excavation. In this case, the rock gathering involves the interaction between the cutting part, which is the bucket wheel lip equipped with teeth, and the material to be excavated.

The breaking process causes a resultant force *R* as a result of the interaction between the rock mass to be excavated and the cutting tooth. It can be organized on the cutting plane into two components: a component that acts along the direction of cutting of the cutting tooth F_x , called tangential force or cutting force, and a component that is perpendicular to the direction of cutting F_y , called normal force or penetration force. A lateral component F_z , which corresponds to the lateral strength of the coal in the breaking process, also acts on the tooth. The angle α between the front face of the tooth and the direction of the normal force F_y represents the rake angle. β is the angle between the bottom face of the tooth and the direction of the cutting (or tangential) force F_x , which is the clearance angle. The angle of attack, noted as θ , is created by the resultant force R direction and the cutting force F_x . The depth of cut is noted with h_0 (Figure 1).



Figure 1. Forces acting on the cutting tooth.

If the force variation in time is approximated by a polygonal line, then the portion of the graph between two successive minima (points A and C) corresponds to the formation and detachment of a chip from the rock mass (Figure 2).

This process takes place within a time frame *t*. In the time interval t_1 , the tooth penetrates into the rock mass (A–B). The end of this period corresponds to the formation of the chip and is marked by a maximum force value. During the time period t_2 , the actual detachment of the chip takes place. This time range is between the maximum and minimum value of the tangential force (B–C).

For the two distinct time periods, t_1 and t_2 , the corresponding values of mechanical work, L_{x1} and L_{x2} are:

$$L_{x_1} = v_t \cdot I_{x_1}$$

$$L_{x_2} = v_t \cdot I_{x_2}$$
(1)

where v_t is the cutting speed that can be considered approximately constant.

The appropriate impulses I_{x1} and I_{x2} are:

$$I_{x_1} = \int_{t}^{t+\Delta t_1} F_x(t) dt$$

$$I_{x_2} = \int_{t+\Delta t_1}^{t+\Delta t_1} F_x(t) dt$$
(2)

(3)



The mechanical work performed for the formation and removal of the chip is:

 $L_x = L_{x1} + L_{x2} = v_t \cdot (I_{x1} + I_{x2})$



The area between the F_x force line and the time axis is proportional to the energy transmitted by the tooth to the rock mass in the cutting process.

In Figure 2, the ABED area is proportional to the energy consumed for chip formation, and the BCFE area is proportional to the energy consumed to overcome the friction during chip removal.

Chip formation during the breaking process of the rock mass is a phenomenon of comminution (crushing-grinding), which is dependent on a large number of parameters whose influence and variation have a random nature. To establish the link between the specific energy of the rock breaking and the size of the resulting chips, Charles's relation [30] is used, namely:

$$dw_{Ex} = -\lambda \cdot \frac{ds}{s^b} \tag{4}$$

where:

 w_{Ex} is the specific energy related to a volume unit;

s is the average dimension of the resulted chips;

 λ is a constant of proportionality;

b is a parameter depending on the nature of rock. For b = 2, we obtain Rittinger's relation as follows:

$$\int_{0}^{w_{Ex}} dw = -\int_{d}^{D} \lambda \frac{ds}{s^2}$$
(5)

where *D* and *d* are the initial and the final values of the particle's size, respectively. It is computed as follows:

$$w_{Ex} = \lambda \left(\frac{1}{d} - \frac{1}{D}\right) \tag{6}$$

When the breaking from the rock mass is performed, its dimension being considerably greater than that of the chip's, we can consider $D \rightarrow \infty$ and (6) use the following formula:

$$w_{Ex} = \frac{\lambda}{d} \tag{7}$$

For Equation (4), in the case of b = 1, we obtain the Kick-Kirpichev's formula as follows: $w_{F_{x}}$ D

$$\int_{0}^{w_{Ex}} dw = -\int_{d}^{D} \lambda \frac{ds}{s}$$
(8)

where:

$$w_{Ex} = -\lambda \ln \frac{D}{d} \tag{9}$$

An intermediary hypothesis leads to Bond's formula, obtained for b = 1, 5, as:

$$\int_{0}^{w_{Ex}} dw = -\int_{d}^{D} \lambda \frac{ds}{s^{\frac{3}{2}}}$$
(10)

where:

$$w_{Ex} = 2 \cdot \lambda \left(\frac{1}{\sqrt{d}} - \frac{1}{\sqrt{D}} \right) \tag{11}$$

In the case of $D \to \infty$, this becomes:

$$w_{Ex} = \lambda \frac{2}{\sqrt{d}} \tag{12}$$

The aforementioned formulae are useful for deriving the relationship between energy consumption and the grain size of the excavated rock. An interesting interpretation of Equation (7) is given in paper [31], which identifies the parameter λ as the specific cutting resistance A, relative to the depth of cut, as the ratio between the tangential force and the depth of cut, which is an invariant and represents a good metric of rock cuttability.

3. General Considerations for Cutting Coal with BWE

Bucket wheel excavators (BWEs), used for the removal of overburden and extraction of coal in open pit mines, are continuously-acting machines that cut the rock using a set of buckets fitted with teeth (Figure 3) mounted on the bucket wheel (Figure 4). At the same time, the buckets also collect and carry out the removed material until it is discharged into the on-board conveyor belt. Our model is based on the EsRc 1400 BWE, which has a bucket wheel with a cutting diameter of 11.5 m, equipped with 9 cutting-loading buckets. Each bucket is fitted with 8 cutting teeth.

Figure 4 shows the forces acting on the bucket-wheel buckets. Thus, the force tangents at the periphery of the bucket wheel are generated by the cutting process and only act on the buckets upon being in contact with the rock mass. Vertical forces correspond to the weight of the material loaded into the buckets and act on them until the excavated material is discharged onto the on-board conveyor. These forces are negligible relative to the tangential forces.



Figure 3. Bucket-tooth assembly.



Figure 4. The excavator's bucket wheel and the forces acting on it during the excavation process.

The bucket wheel is mounted on the excavator arm (boom), which performs a slewing motion with a speed V_p in a horizontal plane. This movement of the excavator arm allows the extraction of rock mass by slices, as visible in Figure 5.

The main geometric characteristics that determine the dimensions of the extracted slices are shown in Figures 6 and 7. Thus, in Figure 6, D is the cutting diameter of the bucket wheel, R_p is the slewing radius, γ is the tilt angle of the arm in the vertical plane, L_s is the length of the arm, H_s is the height of the rotation axis of the arm from the level of the berm's floor, H_{sp} is the distance between the center of rotation of the bucket wheel and the lower plane of the arm.



Figure 5. Exploitation in slices, following the slewing movement of the excavator arm.



Figure 6. Geometric characteristics in the vertical plane.



Figure 7. Geometric characteristics in the horizontal plane.

In Figure 7, B_r is the distance between the bucket wheel center of rotation and the vertical arm symmetry plane, and δ is the angle between the longitudinal axis of the bucket wheel and the arm pivot axis.

4. Presentation of the Method for Calculating the Actuating Power of the Excavator's Bucket Wheel

The calculation of the actuating power of the bucket wheel excavator is carried out by determining the energy requirement for the excavation of a slice.

The method proposed by the authors requires the determination of the volume of material removed at an arm-slewing cycle, the specific volumetric energy for excavating the extracted material, and the measurement of the slewing cycle time.

4.1. Calculation of the Volume of the Material Deployed at an Arm Swiveling Cycle

During the excavation of the material, the excavator bucket cuts a slice whose vertical section is shaped like a sickle and is bounded by points A, B, and C (Figure 8). The maximum thickness of this slice is h_0 and is equivalent to the length of the segment $\overline{O_1O_2}$. H is the excavation height (height of the bench), and D is the diameter of the excavator's bucket wheel.



Figure 8. Characteristic geometric elements of excavated slice in the vertical plane.

Figure 9 shows the characteristic geometric sizes of a horizontal slice. B is the extracted block width and θ is the position angle of the bucket wheel on the excavated block width.

The volume of the extracted slices was determined for an EsRc 1400 BWE, working in the Rosiuta open-pit coal mine of Oltenia. The values of the aforementioned geometric parameters in a specific stage of mining are presented in Table 1.

Using the excavation parameter values in Table 1, a model of the slice was built in the SOLIDWORKS application (Figure 10). Thus, its volume for excavation heights in the range of 3.5–7.5 m with the 0.2 m step was calculated. The values obtained are shown in Table 3.



Figure 9. Characteristic geometric elements of the excavated slice in the horizontal plane.

Table 1. Geometric parameters.

Characteristic	Unit	Symbol	Value
Left slewing angle	0	θ_1	35
Right slewing angle	0	θ_2	60
Slewing radius	m	R_p	42
Bucket wheel cutting diameter	m	Ď	11.5
Maximum value of slice thickness	m	h_o	0.6

Figure 10. Shape of the slice.

4.2. Determination of Specific Volumetric Breaking Energy for Excavated Material and Measurement of the Slewing Cycle Time

Specific energy consumption at cutting W_{Ex} globally characterizes the quality of the excavation process of coal or overburden rocks. It is defined as the ratio of the energy consumed for cutting to the volume of excavated material. Its value can be determined either by recording the power absorbed by the actuating drive of the bucket wheel and measuring the amount of excavated rock, or by experimental laboratory tests.

The cutting force is variable over time, thus implicitly depending on the position of the cutting tooth. Consequently, the energy consumed by a tooth on the excavator bucket during the excavation process is:

$$W_{Ex} = \int_{0}^{L} F_{Var}(l) dl \tag{13}$$

where $F_{Var}(l)$ is the variable slicing force depending on the displacement *l* of the tooth, and *L* is its maximum displacement.

Under laboratory conditions, the cutting force can be measured either as a function of time or as a function of displacement. In these circumstances, if the variation of force is known according to time, its average value is:

$$\overline{F} = \frac{1}{T} \int_{0}^{T} F_{Var}(t) dt$$
(14)

where $F_{Var}(t)$ is the slicing force variable according to the time t, and T is the cutting time of the slice.

On the other hand, if the variation of the cutting force is known according to the displacement, its average value is:

$$\overline{F} = \frac{1}{L} \int_{0}^{L} F_{Var}(l) dl$$
(15)

As a result, the excavation energy consumed at the level of a tooth can be expressed by the relation:

$$W_{Ex} = \overline{F} \cdot L \tag{16}$$

Taking all these into account, the specific volumetric energy of excavation is the ratio between the excavation energy at the level of a tooth and the volume V_{Ex} of the excavated material:

$$w_{Ex} = \frac{W_{Ex}}{V_{Ex}} = \frac{\overline{F} \cdot L}{V_{Ex}}$$
(17)

Under laboratory conditions, we have chosen to measure the variation of the cutting force in time, for which a linear cutting machine (LCM), as presented in Figure 11, was used.

We specify that the measurement of the force variation was carried out for 8 lignite samples taken from the Roşiuta open pit, in the Oltenia Coal Basin, using an analogue dynamometer. Determinations were made for each sample using reduced-scale teeth with different rake angles. The linear cutting speed for each sample test was 0.5 m/s. Figure 12a. shows the dynamometer together with one of the cutting teeth. The dynamometer was built in the department, using strain gages placed on all three directions of the mounted tooth. The bridge output voltages for each direction were read with a 12-bit AD/DA data acquisition card (Figure 12b) at a sampling rate of 0.5 ms, equivalent to two thousand interrogations per second. Using a program written in C, which has the load curve values corresponding to pre-defined voltage (for the range of 0 volts = 0 Newton to 5 volts = 1000 Newton), these voltage values are converted and forces are calculated for each

interrogation; the time variable force values obtained are saved as .csv files for further filtering and processing in Matchcad and MS Excel. The program calculates the force values using the coefficients established by the dynamometer calibration: $k_x = 4.26$, $k_y = 1.992$, and $k_z = 1.349$.

Figure 11. Cutting force measuring device: 1—dynamometer, 2—material sample, 3—fixed support, 4—hydraulic cylinder, 5—cutting tooth, 6—sample storage box.

Figure 12. A dynamometer and one of the cutting teeth (a); data acquisition card (b).

Figure 13 shows a diagram of the cutting force variation in the direction perpendicular to the cutting plane, after processing. The force sampling in this case was 1 ms.

The cutting energy corresponding to the force variation diagram in Figure 13 was calculated with Equation (16). Following a cutting test, the volume V_{Ex} of the removed material was determined by the molding method. The left trench in the material following the cutting was filled with plasticine, and the measurement of the volume of each mold was performed by submerging them in water in a graded vessel. In summary, the values of the specific energy consumption were calculated for the analyzed material. These are in the range 0.15–0.27 kWh/m³.

The mechanical characteristics of the studied lignite samples are presented in Table 2. The uniaxial compressive strength (UCS), the tensile strength by Brazilian test, and the Young modulus were obtained in the department by classic laboratory methods (Figure 14). The table also presents the cutting parameters, i.e., the specific cutting resistance and the specific cutting energy obtained on the LCM. In Figure 15, the correlation between UCS and SE is shown.

Figure 13. Time variation diagram of cutting force in the direction perpendicular to the cutting plane.

Sample No.	Specific Energy (kWh/m ³)	UCS (Mpa)	Tensile Strength (MPa)	Young Modulus (MPa)	Specific Cutting Force (kN/m)
1	0.27	3.35	0.53	306.3.	51.5
2	0.26	3.94	0.60	307.4	48.4
3	0.2	3.07	0.72	311.8	38.3
4	0.2	2.70	0.62	318.1	37.2
5	0.27	4.31	0.56	318.7	55.2
6	0.24	2.94	0.65	314.7	43.8
7	0.2	3.08	0.57	303.7	38.9
8	0.15	2.36	0.65	319.0	29.2
Average	0.223	3.221	0.62	312.51	42.8
Min	0.15	2.36	0.53	303.70	29.2
Max	0.28	4.31	072	319.03	55.2

Figure 14. Laboratory testing of lignite samples.

 Table 2. Properties of lignite samples.

Figure 15. Correlation between UCS and SE.

Considering the geometric parameters of cutting from Table 1, the slewing time for one passing across the excavator arm is T_{Ex} = 438 s. Thus, the slewing speed is computed as follows:

$$V_P = \frac{L_F}{T_{Ex}} \tag{18}$$

where L_F is the length of the strip. For the parameters of cutting presented in Table 1, the strip length has the value L_F = 69.94 m. Under these conditions, the slewing speed is at $V_P \approx 0.16$ m/s.

5. Results and Discussions

As shown, the volume of the slice model was determined for excavation heights ranging from 3.5 to 7.5 m, with a step of 0.2 m. The resulting values are presented in Table 3. The calculation of excavation energy and the corresponding power was made for each volume, taking into account three specific excavation energy values. The three values correspond to the ends and middle of their variation range. The overall efficiency of the excavator's bucket wheel drive system is 80%. Thus, the power values at the drive engine axis are those shown in Table 3.

In Figure 16, we presented the change in power according to height H for the three specific excavation energy values, regression lines, and their corresponding equations as well as the constant value of the nominal power P_n of the bucket wheel actuating engine.

The power variation is approximately linear. As expected, for all specific energy values, the power increases with the increase in the height H of excavation. The analysis of the diagrams in Figure 16 shows that for the maximum value of the specific energy considered, the power required by the excavation process exceeds the nominal engine power for a value of height H in the range of 5.5–6.5 m. The equation of the regression line (Figure 16) corresponding to this situation is:

$$P = 114.29 \cdot H - 19.381 \tag{19}$$

Based on Equation (19), for a power equal to the nominal power value of the engine $P_n = 630$ kW, we determined the value of height H_{max} for the imposed cutting parameters and for the slewing cycle time of the boom. The value of this height is $H_{max} = 5.68$ m.

	Volume (m ³)	Specific Energy (kWh/m ³)					
Excavation Height (m)		0.15		0.22		0.27	
		Energy (kWh)	Power (kW)	Energy (kWh)	Power (kW)	Energy (kWh)	Power (kW)
3.5	138	21	157	29	217	37	380
3.7	146	22	165	31	232	39	400
3.9	154	23	172	32	240	42	431
4.1	162	24	180	34	255	44	452
4.3	170	26	195	36	270	46	472
4.5	179	27	202	38	285	48	493
4.7	187	28	210	39	292	50	513
4.9	195	29	217	41	307	53	544
5.1	203	30	225	43	322	55	565
5.3	211	32	240	44	330	57	585
5.5	220	33	247	46	345	59	606
5.7	228	34	255	48	360	62	636
5.9	236	35	262	50	375	64	657
6.1	244	37	277	51	382	66	678
6.3	253	38	285	53	397	68	698
6.5	261	39	292	55	412	70	719
6.7	269	40	300	56	420	73	750
6.9	277	42	315	58	435	75	770
7.1	285	43	322	60	450	77	791
7.3	294	44	330	62	465	79	811
7.5	302	45	337	63	472	82	842

Table 3. Power values at the drive engine axis.

Figure 16. Variation of excavation power according to excavation height for different values of specific energy.

Safe operation of the bucket wheel engine requires that the maximum excavation power be less than its rated power. Under these conditions, it is necessary to determine the slewing time so that the excavation power will not exceed the rated power of the engine according to the following relationship:

,

$$T_{\min} = \frac{W_{Exmax}}{P_n} \tag{20}$$

Based on this relationship (20), the resulting value of the minimum slewing time for the analyzed excavation conditions is $T_{min} = 585$ s. Achieving an excavation time greater than or equal to T_{min} assumes a lower slewing speed than $V_{max} \approx 0.12$ m/s.

6. Conclusions

The actuating power requirement of the BWE drive was derived using the specific cutting energy issued from the measured cutting force on a measuring rig, from samples collected from open pit mines, through measurement of the slewing time of an operating excavator, and the excavated volume of a slice obtained by numerical modeling.

In this respect, the basic laws and principles of mechanical rock cutting using tooth are reviewed and presented in a suitable approach.

Accordingly, a method is proposed for the estimation of the load of the bucket wheel drive motor, by taking into account only the specific energy for the cutting of the material, the excavated volume at one slewing, and the time required to excavate this volume.

This method is used for establishing the correlation between the height of the excavated slice and the slewing speed of the boom, and correcting the slewing time so that the excavation power does not exceed the rated power of the engine.

Three values for specific energy determined on samples using a test rig, namely 0.15, 0.22, and 0.27 kWh/m³, were used for the calculation of the total energy and power for the excavation of one slice, with different values for the bench height *H*, between 3.5 m (the minimal rational value for excavation with BWEs) and 7.5 m (about 2/3 of the bucket wheel diameter, the maximum possible, from the perspective of slope stability).

The obtained power values are in the range of 157 kW–847 kW; their regression lines are plotted as a function of height, with specific energy as a parameter. The values were compared with the nominal power of the bucket wheel drive. For the first two values of the specific energy, the power is below the nominal value for the entire range of the working height, and in case of the maximum value, it exceeds the nominal value at a height of 5.68 m. For safe excavation in this kind of rock, the slewing time for height exceeding this value must be extended (slewing speed reduced) by about 25%.

The obtained results are useful for the optimization of the bucket wheel drive power and thus improve energy efficiency, increase the performance of excavators, and reduce operating costs.

Author Contributions: Conceptualization, I.B., F.D.P. and B.I.M.; methodology, A.A., F.D.P., I.A. and S.M.R.; software, I.B., A.A. and A.R.C.; validation, S.M.R., A.A. and F.D.P.; writing—original draft preparation, A.A.; writing—review and editing, F.D.P. and I.A.; supervision, I.B. and F.D.P. All authors have read and agreed to the published version of the manuscript.

Funding: This research received no external funding.

Data Availability Statement: Not Applicable.

Conflicts of Interest: The authors declare no conflict of interest.

References

- 1. Evans, I.; Pomeroy, C.D. The Strength, Fracture and Workability of Coal; Pergamon Press: Oxford, UK, 1966.
- Copur, H.; Tuncdemir, H.; Bilgin, N.; Dincer, T. Specific energy as a criterion for the use of rapid excavation systems in Turkish mines. *Mining Technology* 2001, 110, 149–157. [CrossRef]
- 3. Evans, I. A theory on the basic mechanics of coal ploughing. In *Mining Research*; Clark, G.B., Ed.; Elsevier: Amsterdam, The Netherlands, 1962; pp. 761–798.
- 4. Evans, I. A theory of the cutting force for point attack-picks. Int. J. Min. Eng. 1984, 2, 9. [CrossRef]
- 5. Nishimatsu, Y. The mechanics of rock cutting. Int. J. Rock Mech. Min. Sci. Geomech. Abstr. 1972, 9, 261–270. [CrossRef]
- Goktan, R.M.; Gunes, N. A semi-empirical approach to cutting force prediction for point-attack picks. J. S. Afr. Inst. Min. Metall. 2005, 105, 257–263.
- 7. Yasar, S. A general semi-theoretical model for conical picks. Rock Mech. Rock Eng. 2020, 53, 2557–2579. [CrossRef]
- 8. Chang, S.H.; Choi, S.W.; Bae, G.J.; Jeon, S. Performance prediction of TBM disc cutting on granitic rock by the linear cutting test. *Tunn. Undergr. Sp. Technol.* **2006**, *21*, 271. [CrossRef]

- 9. Copur, H.; Bilgin, N.; Balci, C.; Tumac, D.; Avunduk, E. Effects of Different Cutting Patterns and Experimental Conditions on the Performance of a Conical Drag Tool. *Rock Mech. Rock Eng.* **2017**, *50*, 1585–1609. [CrossRef]
- 10. Jeong, H.; Jeon, S. Characteristic of size distribution of rock chip produced by rock cutting with a pick cutter. *Geomech. Eng.* **2018**, *15*, 811–822.
- 11. Liu, J.; Ma, C.; Zeng, Q.; Gao, K. Discrete element simulation of conical pick's coal cutting process under different cutting parameters. *Shock Vib.* **2018**, 2018, 7975141. [CrossRef]
- 12. Li, X. A study on the influence of pick geometry on rock cutting based on full-scale cutting test and simulation. *Adv. Mech. Eng.* **2020**, *12*, 168781402097449. [CrossRef]
- 13. Menezes, P.L. Influence of cutter velocity, friction coefficient and rake angle on the formation of discontinuous rock fragments during rock cutting process. *Int. J. Adv. Manuf. Tech.* 2017, 90, 3811–3827. [CrossRef]
- 14. Menezes, P.L. Influence of rock mechanical properties and rake angle on the formation of rock fragments during cutting operation. *Int. J. Adv. Manuf. Tech.* **2017**, *90*, 127–139. [CrossRef]
- 15. Wang, X.; Su, O. Specific energy analysis of rock cutting based on fracture mechanics: A case study using a conical pick on sandstone. *Eng. Fract. Mech.* **2019**, 213, 197–205. [CrossRef]
- 16. Zhang, D.; Liu, S.; Jia, J. Influence of motion parameters on cutting performance of boom-type roadheader during the swing cutting. *Arab. J. Sci. Eng.* **2021**, *46*, 4387–4397. [CrossRef]
- 17. Labra, C.; Rojek, J.; Oñate, E. Discrete/finite element modelling of rock cutting with a TBM disc cutter. *Rock Mech. Rock Eng.* 2017, 50, 621–638. [CrossRef]
- 18. Rojek, J. Discrete element modelling of rock cutting. Comput. Methods Mater. Sci. 2007, 7, 224–230.
- 19. Rojek, J.; Oñate, E.; Labra, C.; Kargl, H. Discrete element modelling of rock cutting. In *Particle-Based Methods. Computational Methods in Applied Sciences*; Oñate, E., Owen, R., Eds.; Springer: Dordrecht, Germany, 2011; Volume 25, pp. 247–267. [CrossRef]
- Rojek, J.; Oñate, E.; Labra, C.; Kargl, H. Discrete element simulation of rock cutting. Int. J. Rock Mech. Min. Sci. 2011, 48, 996–1010. [CrossRef]
- 21. Jeong, H.; Choi, S.; Lee, S.; Jeon, S. Rock cutting simulation of point attack picks using the smooth particle hydrodynamics technique and the cumulative damage model. *Appl. Sci.* **2020**, *10*, 5314. [CrossRef]
- 22. Ouyang, Y.; Yang, Q.; Chen, X.; Xu, Y. An Analytical Model for Rock Cutting with a Chisel Pick of the Cutter Suction Dredger. J. *Mar. Sci. Eng.* **2020**, *8*, 806. [CrossRef]
- 23. Liu, J.; Zheng, H.; Kuang, Y.; Xie, H.; Qin, C. 3D Numerical simulation of rock cutting of an innovative non-planar face PDC cutter and experimental verification. *Appl. Sci.* **2019**, *9*, 4372. [CrossRef]
- 24. Liu, Q.; Lu, C.; Liu, T.; Xu, Z. Adaptive cutting control for roadheaders based on performance optimization. *Machines* **2021**, *9*, 46. [CrossRef]
- Kovacs., I.; Andras, I.; Nan, M.S.; Popescu, F.D. Theoretical and experimental research regarding the determination of nonhomogenons materials mechanical cutting characteristics. In Proceedings of the 8th Conference on Simulation, Modelling and Optimization (SMO), Santander, Cantabria, Spain, 23–25 September 2008; pp. 232–235.
- Nan, M.S.; Kovacs, I.; Andras, I.; Jula, D. Study of the working regime of the bucket wheel excavators in the conditions of Romanian open pit lignite mines. In Proceedings of the 8th Conference on Simulation, Modelling and Optimization (SMO), Santander, Cantabria, Spain, 23–25 September 2008; pp. 83–88.
- 27. Ladányi, G.; Sümegi, I. Some issues of the technological design of bucket-wheel excavators. *Ann. Univ. Petroşani Mech. Eng.* 2006, *8*, 63–72.
- Machniak, Ł.; Kozioł, W. Method of assessment of hard rock workability using bucket wheel excavators. Arch. Min. Sci. 2017, 62, 73–82. [CrossRef]
- 29. Onderková, I.; Cholevová, I. Excavation process control with using modern methods in the case of giant machines. *Sci. J. Marit. Univ. Szczec.* 2014, *37*, 72–76.
- 30. Charles, R.J. Energy-size reduction relationships in comminution. *Trans. AIME* 1957, 9, 80–88.
- Andraş, I.; Andraş, A.; Dinescu, S. On the correlation of cutting resistance and physical-mechanical properties of lignite and overburden rocks from Oltenia coalfield. In Proceedings of the MultiScience—XXX. microCAD International Multidisciplinary Scientific Conference, University of Miskolc, Miskolc, Hungary, 21–22 April 2016. [CrossRef]